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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **OKAJIMA, Tadashi**

Group Art Unit: **2653**

Serial No.: **09/650,757**

Examiner: **William R. KORZUCH**

Filed: **August 30, 2000**

For: **DISK APPARATUS**

**RECEIVED**

**DEC 13 2002**

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(b)**

**Technology Center 2600**

Commissioner for Patents  
Washington, D.C. 20231

December 12, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

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The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449  
references (4)  
Copy of JP Office Action dated October 8, 2002



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 001111	Serial No. 09/650,757
	Applicant(s): OKAJIMA, Tadashi	
	Filing Date: August 30, 2000	Group Art Unit: 2653

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	<div>RECEIVED DEC 13 2002 Technology Center 2600</div>				
_____	AB					
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 5-325209	12/10/1993	Japan	Abstract
_____ AG 9-167359	06/24/1997	Japan	Abstract
_____ AH 8-339547	12/24/1996	Japan	Abstract
_____ AI 11-73650	03/16/1999	Japan	Abstract
_____ AJ			

### OTHER DOCUMENTS

_____	AK	Copy of Japanese Patent Office Action for corresponding Japanese Patent Application 11-250525 dated October 8, 2002.
_____	AL	
Examiner		Date Considered